

Client ID:

Office Use Only: USDECS

Entity Self-Certification

Tax regulations¹ require us to collect certain information about each investor's tax residency and tax classifications. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information about your account(s) with the relevant tax authorities. If you have any questions about your organisation's classifications in the form below, please contact your tax adviser. **Please see explanatory notes for key definitions.** Should any information provided change in the future, please ensure you advise us promptly.

****IN ORDER FOR THIS FORM TO BE VALID ALL SECTIONS 1 TO 4 MUST BE COMPLETED****

Section 1: Tax residency information

If your organisation has more than one country of tax residency, please complete one self-certification form for each country.

- 1.1 Please state the country in which your organisation is resident for tax purposes:
- 1.2 Please provide us with your organisation's Tax Identification Number:
-

Section 2A: Organisation's classification under FATCA²

Please tick only one box with reference to the tax residency stated in box 1.1

If your organisation is a Financial Institution⁵, please specify which type:

2.1	UK Financial Institution ⁵ or a Partner Jurisdiction Financial Institution ⁶	☐
2.2	Participating Foreign Financial Institution (in a non-IGA jurisdiction ⁷)	☐
2.3	Non-Participating Foreign Financial Institution ⁸ (in a non-IGA jurisdiction ⁷)	☐
2.4	Financial Institution resident in the USA or in a US Territory ⁹	☐
2.5	Exempt Beneficial Owner ¹⁰	☐
2.6	Deemed Compliant Foreign Financial Institution ¹¹ (besides those listed above)	☐

If your organisation is not a Financial Institution⁵, please specify the entity's FATCA status below:

2.7	Active Non-Financial Foreign Entity ¹²	☐
2.8	Passive Non-Financial Foreign Entity ¹³ (If you tick this box, please include individual self-certification forms for each of your Controlling Persons ⁴)	☐

Section 2B: Complete this *only* if your organisation is US Tax Resident (box 1.1)

Tick this box if your organisation is any of the following and therefore **not** a Specified US Person¹⁶

☐

- A regularly traded corporation on a recognised stock exchange
 - Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
 - A government entity
 - Any bank as defined in section 581 of the U.S. Internal Revenue Code
 - A retirement plan under section 7701(a)(37), or exempt organization under section 501(a) of the U.S. Internal Revenue Code
 - OR any other exclusion listed in Explanatory Note 16
-

Section 3: Organisation's classification under the Common Reporting Standard (CRS)²

Please tick only one box in this section with reference to the tax residency stated in box 1.1

3.1	Financial Institution ¹⁷ (this includes Non-Reporting Financial Institutions ¹⁸ such as a pension scheme, government entity, international organisation and other entities listed in Explanatory Note 18).	
3.2	A professionally managed Investment Entity ²³ <u>outside</u> of a CRS Participating Jurisdiction ²⁴ (If this box is ticked, please include individual self-certification forms for each of your Controlling Persons ⁴)	
3.3	Active Non-Financial Entity ²⁰ which is regularly traded on an established securities market or affiliated thereto, a Governmental Entity or an International Organisation	
3.4	Active Non-Financial Entity ²⁰ (other than those listed in 3.3 above)	
3.5	Passive Non-Financial Entity ²¹ (If you tick this box, please include individual self-certification forms for each of your Controlling Persons ⁴)	

Section 4: Declaration for FATCA and CRS²

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.
I agree to notify MANCO NAME immediately in the event that information on this self-certification form changes (including any changes to Controlling Persons).

Signed by (please print name):

On behalf of (organisation name):

Position (in organisation):

Organisation address:

Country of Incorporation or Organisation:

Signature of person authorised to sign:

Date: