Before you Submit
Use these requirements when submitting an instruction to invest into a **new unitholder account**.

RATHBONES

Important:
Additional documentation may be required depending on the investor and investment. Always refer to the relevant application form and prospectus before submitting your instruction.
Include the **Coverall** and **Distribution Mandates** with every application or new account opening request.

Account opening requests CANNOT be submitted by email.

Is a Formal Application Form Required?

Yes – If the investment is:
In a **new name** or with **new registration details**

- Submit a **formal application form**
- Send by **Post Fax, Phone or Document Upload**

No – If the investment is:
A **new designation** holding under an **existing registered name**

- An unambiguous instructions containing sufficient information to create a new designation is acceptable:
- Send by **Post, Fax, Phone or Document Upload**

Key Requirements

FATCA and CRS self certificates	Required (Certificates can be provided after the trade).
PAIF Funds	No
Instruction Signatures	Two authorised signatures are required. Signatories must print their name and state their capacity
Default Distribution Method	CHQ
Distribution Mandate Submission	Post or Document Upload

White Paper Instructions should contain the following wording : "I/We confirm agreement to all client money arrangements and procedures including the Delivery Versus Payment exemption provisions. We also confirm agreement to the T&C's and that the KIID has been read."

Need Help?
For questions, contact us via webchat
Additional guidance is available in the Account Opening Template on the portal
Please note: Account names can be up to **45 characters**. Account designations can be up to **35 characters.**