

Purpose of the report

The deal confirmation report is produced per Management Company and shows details of a trade processed at a particular Valuation Point, this is collated at account level. The Deal Confirmation report replicates the same information contained within a contract note.

Key Fields

Information about your trades:

Columns A-AT: confirm trade details that would be found on a contract note.

Column H: confirms the Deal ID, which is also supplied in the Bulk Settlement DOLR report.

Column V: shows the price type.

Columns DE and DF will show the current FATCA status of your account.

Column G: Provides the alpha-numeric reference if a trade is placed via CTN/EMX.

Information relating to the Transferee or Transferor is also available within the report for stock transfer trades.

Coverall:

Columns BK-BL: confirms if the deal has been placed on an account with unitholder or client level coverall.

Amended deals:

Column AU: will show 'Y' for a cancelled transaction.

Column AV: shows the original deal ID when a replacement trade is placed.

Column AY: shows 'printed comments' where an explanation is required for the placement of the trade.

How to get the most from this report...



Filter the report using column BK and BL to identify any trades not covered with a coverall.

Filter the report using column AU and Column AY to identify any cancelled or exception trades.

Note - Stock transfers, Switches and Conversions settle T+0, thus the 'Settlement date' provided within this report for these deal types can be ignored.

Hints & Tips

Trade and settlement information is available at <https://distributorportal.co.uk/fund-groups> EMX and CTN availability can be checked from this source by ISIN code.

Coverall forms can be located at <https://distributorportal.co.uk/documents-guides/coverall-form>